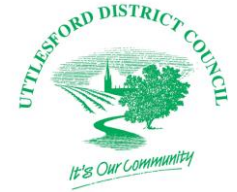
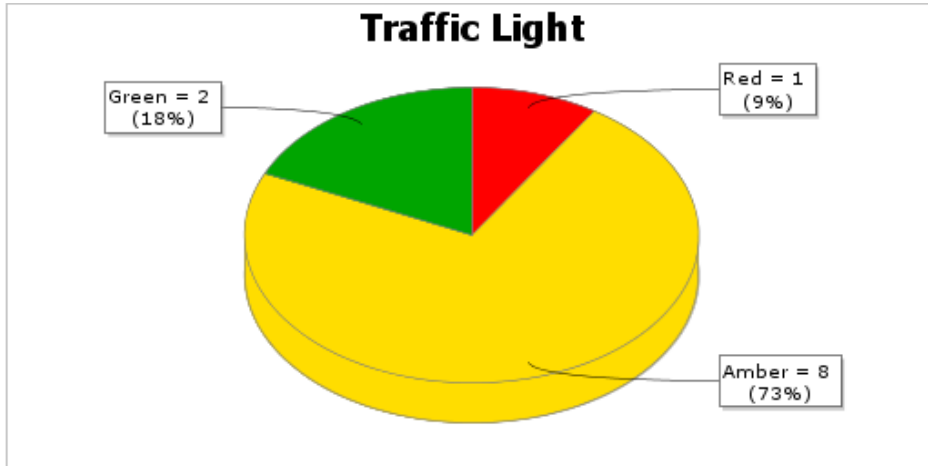




Corporate & Strategic Risk Register 2014-15 - Quarter 4






Report Type: Risks Report
Report Author: Debra Admin_Collins
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Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
14-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	3	1	2	1	2		3	1	An in-year surplus is predicted and plans are underway for a savings action plan. Recent government statements on austerity indicate prolonged pressure on council services	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb


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14-CR 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	2	3	2	3	6		2	3	The likelihood of this happening in the next twelve months will increase as will the impact. Recent government statements on austerity indicate prolonged pressure on council services	Work with local government and other partners to share the risks and benefits of reform	Adrian Webb
14-CR 03 LSP decisions do not inform Council policy	Decisions made by the LSP do not inform Council policy	2	2	3	3	9		2	2	No real change from last time. The LSP continues to meet but nothing filters down into the organisation. There will be a review of its effectiveness after the May 2015 election	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such	John Mitchell





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											as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	
14-CR 04 Local Plan	Failure to meet objectively assessed housing need and identify suitable deliverable sites	3	2	3	2	6		3	2	New SHMA to be published late Summer	Complete SHMA, carry out Duty to Cooperate process with authorities cross the housing market area and other neighbouring councils, and issue new call for sites. New member working group established to steer process.	Roger Harborough
14-CR 05 External contracts	Contracts with third parties do not benefit the Council &	3	3	3	2	6		3	1	One major contract awarded in Q4. Another reached bid	Robust evaluation of contract bids. Once new contracts in	Roger Harborough

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	Community financially									evaluation stage. Risks remain because of very competitive price of awarded contract, and need to carry out financial checks on successful bidder for other contract still to be awarded.	place, constant monitoring of contracts to ensure appropriate implementation including financial guarantees where appropriate	
14-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	1	3	1	3		3	1	The Council is developing its action plan on the single equality duty in partnership with SCambs DC. Training for new and existing parish, town and district members on the code of conduct is taking place and extensive information on health and safety is	Necessary information available to all staff and regular training given	John Mitchell

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										set out on the Council's intranet which is linked to targeted training		
14-CR 08 Little money available for Highways improvements	Highways Panel unable to deliver expectations owing to ECC financial constraints	2	3	2	3	6		2	3	Only 2 schemes cancelled. Another may not commence (CR scheme) because cost estimate has escalated from £34K to £85K. 35 schemes completed	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Roger Harborough
14-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment	3	1	3	1	3		3	1	Business space study received. Parking study received	Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work	Roger Harborough
14-CR 10 Adverse impact from reform of council tax	The reform of council tax benefits will adversely impact some	3	2	2	2	4		2	2	The LCTS scheme was amended for 2014/15 and required non-	Resource and implement the Council's Local Council Tax Support	Adrian Webb

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benefits	people currently in receipt of benefits									vulnerable working age people on low incomes to pay more council tax. The Council has increased its funding for exceptional hardship support.	Policy approved 2012	
14-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	3	2	6		3	2	Assistant Director Corporate Services has volunteered to develop new business continuity plan templates and is working with the Emergency Planning officer for Epping Forest DC on this project	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood	Michael Perry

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14-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	2	2	1	2		2	1	Due to unrest globally, the likelihood has increased. Robust plans are in place, good relations remain between Stansted Airport Ltd and UDC	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Michael Perry

Risk Status	
	Alert
	High Risk
	Warning
	OK